



Asian Development Bank (ADB)

Complaint screening

All complaints received by OAI are screened against four criteria during the screening process. OAI considers whether or not the complaints are

- **within OAI's mandate** - relate to activities that OAI is authorized to investigate
- **credible** - there is a reasonable possibility that a violation has occurred
- **verifiable** - practicable options exist to obtain sufficient evidence to determine the truth of the allegations on the balance of probabilities
- **material** - the matter is of sufficient importance to justify the projected requirements of the investigation and any remedial action

Complaints that meet all four criteria are converted into investigations.

Investigation

OAI's Integrity Principles and Guidelines require that its investigative findings are presented to subjects of an investigation whenever possible. Subjects may prepare an explanation of the facts and circumstances from their perspective and in their own words. This explanation is presented to [ADB's Integrity Oversight Committee](#) (IOC) for consideration.

As the investigation process is administrative in nature, ADB's procedures do not provide for personal appearances or representations at IOC meetings. The IOC has sole discretion to assess whether an alleged violation has taken place, and if so, will determine the appropriate remedial actions or [sanctions](#).

Sanctions

ADB imposes sanctions on parties that have engaged in fraudulent, corrupt, coercive, collusive, obstructive practices, or other [integrity violations](#).

Debarred entities are ineligible to participate in ADB-financed, administered or supported activities. A debarred firm's ineligibility extends to all employees and officers of a firm, and may extend to other principals and contractual employees of the firm. Debarred individuals

may not participate in ADB-related activity, as individuals or through nomination by an eligible firm, unless they have completely disassociated themselves with an ineligible firm.

ADB may cross debar parties that have been debarred by any of the other participating institutions in accordance with the [Cross Debarment Agreement](#), and the other participating institutions may cross debar parties that ADB has publicly debarred.